

Alexander Carlin, *Senior Managing Director*

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Alexander Carlin, Senior Managing Director, has over 30 years of interrelated experience in numerous segments of the capital markets. These include investment banking, corporate finance, project finance, financial analysis, equity valuation analysis, equity private placements, SEC filings, trading, risk arbitrage, securities law, and contract law. Industry expertise: Technology, Financial Services, Fintech, Biotech, Biopharma, Oil & Gas, and Mining & Natural Resources. Alex has been the lead investment banker for the equity financings of over 75 public and private micro-cap and small-cap companies (and projects), **completing equity private placements totaling over \$1.8 billion during the last 25 years**. Previously, Alex worked at **Arthur Andersen** – Audit & Tax; **Morgan Stanley** – Risk Arbitrage; **KPMG** – M&A; **Summit Capital Group** and **Cowen & Co.** – SVP Investment Banking; and **Blackstone Capital** – Managing Director – Private Equity. Alex owned an NASD broker-dealer (Allied Capital) located at 110 Wall Street with over 100 brokers – he had five securities licenses, including general securities principal and financial operations principal.